

| Form PTQ 1449<br>(Modified)  |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |          | ATTY DOCKET NO.<br><b>291453US0PCT</b>      |             | SERIAL NO.<br><b>10/580,003</b>                                  |                            |
|--|-----|---|----------|---|-------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT  |     |   |          | APPLICANT<br><b>Philippe KRAFFT, et al.</b> |             |  |                            |
|  |     |   |          | FILING DATE<br><b>December 19, 2006</b>     |             | GROUP<br><b>1621</b>   |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |     |   |          |   |             |  |                            |
| EXAMINER INITIAL   |     | DOCUMENT NUMBER   | DATE     | NAME  | CLASS       | SUB CLASS  | FILING DATE IF APPROPRIATE |
| /RK/   | AA  | 6,350,922   | 02-26-02 | VOSEJPKA ET AL.                             |             |  |                            |
| /RK/   | AB  | 5,744,655   | 04-28-98 | THOMAS ET AL.                               |             |  |                            |
| /RK/   | AC  | 2,860,146   | 11-11-58 | FURMAN ET AL.                               |             |  |                            |
|  | AO  |   |          |   |             |  |                            |
|  | AF  |   |          |   |             |  |                            |
|  | AF  |   |          |   |             |  |                            |
|  | AC  |   |          |   |             |  |                            |
|  | AH  |   |          |   |             |  |                            |
|  | AI  |   |          |   |             |  |                            |
|  | AJ  |   |          |   |             |  |                            |
|  | AK  |   |          |   |             |  |                            |
|  | AL  |   |          |   |             |  |                            |
|  | AM  |   |          |   |             |  |                            |
|  | AN  |   |          |   |             |  |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |     |   |          |   |             |  |                            |
|  |     | DOCUMENT NUMBER   | DATE     | COUNTRY                                     | TRANSLATION |  |                            |
| /RK/   | AO  | WO 97/48667   | 12-24-97 | WIPO  | YES         |  | NO                         |
| /RK/   | AP  | WO 2005/097722  | 10-20-05 | WIPO  |             |  |                            |
| /RK/   | AO  | WO 03/064357  | 08-07-03 | WIPO  |             |  |                            |
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| /RK/   | AS  | WO 2005/115954  | 12-08-05 | WIPO  |             |  |                            |
| /RK/   | AT  | WO 2008/101866  | 08-28-08 | WIPO (corresponds to USNN: 12/527,538)      |             |  |                            |
|  | AU  |   |          |   |             |  |                            |
|  | AV  |   |          |   |             |  |                            |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |     |   |          |   |             |  |                            |
| /RK/   | AW  | E. Michert et al., "Installation for the Recovery of Dichloropropanols and Epichlorohydrin from the Waste Water in Epichlorohydrin Production", Pol. J. Appl. Chem., Vol. 41, p. 113-118 (1997)   |          |   |             |  |                            |
|  |     | Kleboehmer W., et al, Solvay Werk Rheinberg: Integrierte Prozesse Separierte Abwasserbehandlungen - Gewaesserschutz, Wasser, Abwasser, 200 (Wissenschaftlich-Technische Mitteilungen des Instituts Zur Förderung der Wassergüte- und Wassermengenwirtschaft e. V. - 2005 p. 81/- 85., Vol. 5. |          |   |             |  |                            |
| /RK/   | AY  | Klaus Weissermel, et al., "Industrial Organic Chemistry," (3 <sup>rd</sup> Completely Revised Edition); VCH 1997. p. 93-98.   |          |   |             |  |                            |
| /RK/   | AZ  | Klaus Weissermel, et al., "Industrial Organic Chemistry," (3 <sup>rd</sup> Completely Revised Edition); VCH 1997. p. 276-277.   |          |   |             |  |                            |
| /RK/   | AAA | Klaus Weissermel, et al., "Industrial Organic Chemistry," (3 <sup>rd</sup> Completely Revised Edition); VCH 1997. p. 347-355.   |          |   |             | <input type="checkbox"/> Additional References sheet(s) attached |                            |
| Examiner <b>/Rosalyn Keys/</b>   |     |   |          | Date Considered <b>02/25/2010</b>           |             |  |                            |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |     |   |          |   |             |  |                            |

|   |                                   |                        |
|---|-----------------------------------|------------------------|
|   | Docket No.: 291453US0PCT          | Serial No.: 10/580,003 |
| LIST OF RELATED CASES CITED BY<br>APPLICANT UNDER 37 CFR 1.56 | Inventor: Philippe KRAFFT, et al. |                        |
|   | Filing Date: December 19, 2006    | Group: 1621            |

**LIST OF RELATED CASES**

|      | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|------|-------------------|--------------------------------|-----------------------------|------------------------------------|------------------------------|
| /RK/ | 291453US0PCT*     | 10/580,003                     | 12/19/06                    | US2007/0112224 A1                  | KRAFFT, et al.               |
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Examiner

/Rosalyn Keys/

Date Considered

03/02/2010

\*Present Application; listed for information  
NFO/ach